

FORM PTO-1390
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

09794353-0017

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/889532

INTERNATIONAL APPLICATION NO.

PCT/US00/08233

INTERNATIONAL FILING DATE

November 22, 2000

PRIORITY DATE CLAIMED

November 22, 1999

TITLE OF INVENTION DISPLAY DEVICE

APPLICANT(S) FOR DO/EO/US

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unexecuted)
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. (Unexecuted)
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Certificate of Mailing Label No: EL281568761US

T/US00/08233

CALCULATIONS PTO USE ONLY

\$ 860.00

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\$ 306.00

\$ 2,720.00

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\$ 3,886.00

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\$ 3 886 00

\$ 0.00

\$ 3,886.00

Amount to be

charged:

- a. ☒ A check in the amount of \$ 3,886.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

SIGNATURE

David R. Metzger

NAME _____

32.919

REGISTRATION NUMBER

526 Rec'd PCT/PTO 18 JUL 2001

09/889532

IN THE UNITED STATES ELECTED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

PRELIMINARY AMENDMENT

APPLICANT(S): Jiro Yamada, et al.) ATTORNEYS DOCKET NO:
SERIAL NO:) 09794353-0017
Filed:)
INTERNATIONAL)
APPLICATION NO: PCT/JP00/08233)
INTERNATIONAL)
FILING DATE: 22 November 2000)
TITLE: DISPLAY DEVICE)

Honorable Commissioner for Patents and Trademarks
Box PCT
Washington, D.C. 20231

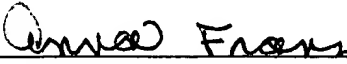
EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail" Mailing Label Number EL281568761US

Date of Deposit July 18, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Box PCT, Washington, D.C. 20231:

1. Transmittal Letter Under 35 U.C.S. 371
2. Filing Fee of \$3,886'
3. Specification (English Translation)
4. Specification as filed with Verification of Translation
5. PCT Request
6. Formal Drawings (18 Sheets)
7. Preliminary Amendment
8. Declaration (Unexecuted)
9. Assignment (Unexecuted)
10. IDS Statement
11. IDS Cover Sheet
12. USPTO Form 1449
13. 13 IDS References
14. International Search Report
15. Postcard
16. Express Certified Mailing No: EL281568761US


Signature of Person Mailing Application and Fees